Name of Agency:	Name of Evaluator:
Date of Self Assessment:	Position:

No.	Assessment Conditions	Agency Score	APCPI Rating*	Comments/Findings to the Indicators and SubIndicators	Supporting Information/Documentation (Not to be Included in the Evaluation
	R I. LEGISLATIVE AND REGULATORY FRAMEWORK				
Indic	ator 1. Competitive Bidding as Default Method of Procureme	nt			1
1.a	Percentage of competitive bidding and limited source bidding contracts in terms of amount of total procurement	92.23%	3.00		PMRs
1.b	Percentage of competitive bidding and limited source bidding contracts in terms of volume of total procurement	9.69%	0.00		PMRs
Indic	ator 2. Limited Use of Alternative Methods of Procurement				
2.a	Percentage of shopping contracts in terms of amount of total procurement	3.93%	3.00		PMRs
2.b	Percentage of negotiated contracts in terms of amount of total procurement	0.00%	3.00		PMRs
2.c	Percentage of direct contracting in terms of amount of total procurement	3.82%	1.00		PMRs
2.d	Percentage of repeat order contracts in terms of amount of total procurement	0.01%	3.00		PMRs
2.e	Compliance with Repeat Order procedures	n/a	n/a		Procurement documents relative to conduct of Repeat Order
2.f	Compliance with Limited Source Bidding procedures	Not Compliant	0.00		Procurement documents relative to conduct of Limited Source Bidding
Indic	ator 3. Competitiveness of the Bidding Process				
3.a	Average number of entities who acquired bidding documents	3.14	1.00		Agency records and/or PhilGEPS records
3.b	Average number of bidders who submitted bids	2.59	1.00		Abstract of Bids or other agency records
3.c	Average number of bidders who passed eligibility stage	2.59	2.00		Abstract of Bids or other agency records
3.d	Sufficiency of period to prepare bids	Fully Compliant	3.00		Agency records and/or PhilGEPS records
3.e	Use of proper and effective procurement documentation and technical specifications/requirements	Fully Compliant	3.00		Cost Benefit Analysis, Work Plans, Technical Specifications included in bidding documents
		A	1.02		
DILL	R II. AGENCY INSTITUTIONAL FRAMEWORK AND MANAGEME	Average I	1.92		
	ator 4. Presence of Procurement Organizations	IVI CAI ACITI			
	Creation of Bids and Awards Committee(s)	Fully Compliant	3.00		Verify copy of Order creating BAC; Organizational Chart; and Certification of Training
4.b	Presence of a BAC Secretariat or Procurement Unit	Fully Compliant	3.00		Verify copy of Order creating BAC Secretariat; Organizational Chart; and Certification of Training
Indic	ator 5. Procurement Planning and Implementation				T
5.a	An approved APP that includes all types of procurement	Compliant	3.00		Copy of APP and its supplements (if any)
5.b	Preparation of Annual Procurement Plan for Common-Use Supplies and Equipment (APP-CSE) and Procurement of Common-Use Supplies and Equipment from the Procurement Service	Fully Compliant	3.00		APP, APP-CSE, PMR
5.c	Existing Green Specifications for GPPB-identified non-CSE items are adopted	Compliant	3.00		ITBs and/or RFQs clearly indicate the use of green technical specifications for the procurement activity
Indic	ator 6. Use of Government Electronic Procurement System				

Name of Agency:	Name of Evaluator:
Date of Self Assessment:	Position:

No.	Assessment Conditions	Agency Score	APCPI Rating*	Comments/Findings to the Indicators and SubIndicators	Supporting Information/Documentation (Not to be Included in the Evaluation
16.a	Percentage of bid opportunities posted by the PhilGEPS- registered Agency	22.00%	0.00		Agency records and/or PhilGEPS records
h.n	Percentage of contract award information posted by the PhilGEPS-registered Agency	100.00%	3.00		Agency records and/or PhilGEPS records
	Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency	0.00%	0.00		Agency records and/or PhilGEPS records

Name of Agency:	Name of Evaluator:
Date of Self Assessment:	Position:

No.	Assessment Conditions	Agency Score	APCPI Rating*	Comments/Findings to the Indicators and SubIndicators	Supporting Information/Documentation (Not to be Included in the Evaluation
Indic	ator 7. System for Disseminating and Monitoring Procuremer	t Information			
7.a	Presence of website that provides up-to-date procurement information easily accessible at no cost	Fully Compliant	3.00		Identify specific procurement-related portion in the agency website and specific website links
	Preparation of Procurement Monitoring Reports using the GPPB-prescribed format, submission to the GPPB, and posting in agency website	Fully Compliant	3.00		Copy of PMR and received copy that it was submitted to GPPB
		Average II	2.40		
PILL/	AR III. PROCUREMENT OPERATIONS AND MARKET PRACTICES	Average II	2.40		
	ator 8. Efficiency of Procurement Processes				
8.a	Percentage of total amount of contracts signed within the assessment year against total amount in the approved APPs	90.09%	3.00		APP (including Supplemental amendments, if any) and PMRs
	Percentage of total number of contracts signed against total number of procurement projects done through competitive bidding	86.36%	0.00		APP(including Supplemental amendments, if any)and PMRs
	Planned procurement activities achieved desired contract outcomes and objectives within the target/allotted timeframe	Not Compliant	0.00		Agency Procedures/Systems for the conduct of needs analysis or market research, monitoring of timely delivery of goods, works, or services Contracts with amendments and variations to order amount to 10% or less
Indic	ator 9. Compliance with Procurement Timeframes				
9.a	Percentage of contracts awarded within prescribed period of action to procure goods	0.00%	0.00		PMRs
9.b	Percentage of contracts awarded within prescribed period of action to procure infrastructure projects	100.00%	3.00		PMRs
9.c	Percentage of contracts awarded within prescribed period of action to procure consulting services	n/a	n/a		PMRs
Indic	ator 10. Capacity Building for Government Personnel and Priv	rate Sector Parti	icinants		
10.a	There is a system within the procuring entity to evaluate the performance of procurement personnel on a regular basis	Partially Compliant	1.00		Samples of forms used to evaluating procurement performance on top of or incorporated within the regular assessment for Procurement Personnel
10.b	Percentage of participation of procurement staff in procurement training and/or professionalization program	0.00%	0.00		Ask for copies of Office Orders, training modules, list of participants, schedules of actual training conducted
10.c	The procuring entity has open dialogue with private sector and ensures access to the procurement opportunities of the procuring entity	Compliant	3.00		Ask for copies of documentation of activities for bidders
In alt -	ator 11. Management of Procurement and Contract Manager	nont Posseds			L
11 a	The BAC Secretariat has a system for keeping and maintaining procurement records	Fully Compliant	3.00		Verify actual procurement records and time it took to retrieve records (should be no more than two hours) Refer to Section 4.1 of User's Manual for list of procurement-related documents for record-keeping and maintenance.
	Implementing Units has and is implementing a system for keeping and maintaining complete and easily retrievable contract management records	Fully Compliant	3.00		Verify actual contract management records and time it took to retrieve records should be no more than two hours
Indic	ator 12. Contract Management Procedures				

Name of Agency:	Name of Evaluator:
Date of Self Assessment:	Position:

No.	Assessment Conditions	Agency Score	APCPI Rating*	Comments/Findings to the Indicators and SubIndicators	Supporting Information/Documentation (Not to be Included in the Evaluation
12.a	Agency has defined procedures or standards in such areas as quality control, acceptance and inspection, supervision of works and evaluation of contractors' performance	Substantially Compliant	2.00		Verify copies of written procedures for quality control, acceptance and inspection; CPES evaluation formsz
12.b	Timely Payment of Procurement Contracts	On or before 30 days	3.00		Ask Finance or Accounting Head of Agency for average period for the release of payments for procurement contracts
		Average III	1.75		

Name of Agency:	Name of Evaluator:
Date of Self Assessment:	Position:

No.	Assessment Conditions	Agency Score	APCPI Rating*	Comments/Findings to the Indicators and SubIndicators	Supporting Information/Documentation (Not to be Included in the Evaluation
PILLA	AR IV. INTEGRITY AND TRANSPARENCY OF AGENCY PROCURE	MENT SYSTEM			
Indi	cator 13. Observer Participation in Public Bidding				
13.a	Observers are invited to attend stages of procurement as prescribed in the IRR	Fully Compliant	3.00		Verify copies of Invitation Letters to CSOs and professional associations and COA (List and average number of CSOs and PAs invited shall be noted.)
Indic	cator 14. Internal and External Audit of Procurement Activitie	<u> </u>			
14.a	Creation and operation of Internal Audit Unit (IAU) that performs specialized procurement audits	Not Compliant	0.00		Verify copy of Order or show actual organizational chart showing IAU, auidt reports, action plans and IAU recommendations
14.b	Audit Reports on procurement related transactions	Below 60% compliance	0.00		Verify COA Annual Audit Report on Action on Prior Year's Audit Recommendations
India	cator 15. Capacity to Handle Procurement Related Complaints				
	The Procuring Entity has an efficient procurement complaints system and has the capacity to comply with procedural requirements	Fully Compliant	3.00		Verify copies of BAC resolutions on Motion for Reconsiderations, Protests and Complaints; Office Orders adopting mesures to address procurement-related complaints
Indic	cator 16. Anti-Corruption Programs Related to Procurement	- II			The second secon
16.a	Agency has a specific anti-corruption program/s related to procurement	Fully Compliant	3.00		Verify documentation of anti-corruption program
		Average IV	1.50		
GRA	ND TOTAL (Avarege I + Average II + Average III + Average IV /	4)	1.89		

Summary of APCPI Scores by Pillar

	APCPI Pillars	Ideal Rating	Agency Rating
ı	Legislative and Regulatory Framework	3.00	1.92
II	Agency Insitutional Framework and Management Capacity	3.00	2.40
Ш	Procurement Operations and Market Practices	3.00	1.75
IV	Integrity and Transparency of Agency Procurement Systems	3.00	1.50
	Total (Pillar I+Pillar II+Pillar III+ PillarIV)/4	3.00	1.89

Agency Rating

Name of Agency: <u>DMMMSU-NLUC</u>	Name of Evaluator:
Date of Self Assessment:	Position:

No.	Assessment Conditions	Agency Score	APCPI Rating*	Comments/Findings to the Indicators and SubIndicators	Supporting Information/Documentation (Not to be Included in the Evaluation
PILL	AR I. LEGISLATIVE AND REGULATORY FRAMEWORK				
Indi	ator 1. Competitive Bidding as Default Method of Procureme	nt			
1.a	Percentage of competitive bidding and limited source bidding contracts in terms of amount of total procurement	31.38%	0.00		PMRs
1.b	Percentage of competitive bidding and limited source bidding contracts in terms of volume of total procurement	3.92%	0.00		PMRs
Indi	cator 2. Limited Use of Alternative Methods of Procurement				
2.a	Percentage of shopping contracts in terms of amount of total procurement	27.47%	0.00		PMRs
2.b	Percentage of negotiated contracts in terms of amount of total procurement	28.73%	0.00		PMRs
2.c	Percentage of direct contracting in terms of amount of total procurement	12.42%	0.00		PMRs
2.d	Percentage of repeat order contracts in terms of amount of total procurement	0.00%	3.00		PMRs
2.e	Compliance with Repeat Order procedures	n/a	n/a		Procurement documents relative to conduct of Repeat Order
2.f	Compliance with Limited Source Bidding procedures	n/a	n/a		Procurement documents relative to conduct of Limited Source Bidding
Indi	cator 3. Competitiveness of the Bidding Process				
3.a	Average number of entities who acquired bidding documents	1.12	0.00		Agency records and/or PhilGEPS records
3.b	Average number of bidders who submitted bids	0.96	0.00		Abstract of Bids or other agency records
3.c	Average number of bidders who passed eligibility stage	0.88	0.00		Abstract of Bids or other agency records
3.d	Sufficiency of period to prepare bids	Fully Compliant	3.00		Agency records and/or PhilGEPS records
3.e	Use of proper and effective procurement documentation and technical specifications/requirements	Fully Compliant	3.00		Cost Benefit Analysis, Work Plans, Technical Specifications included in bidding documents
DILI	AR II. AGENCY INSTITUTIONAL FRAMEWORK AND MANAGEME	Average I	0.82		
_	cator 4. Presence of Procurement Organizations	NT CALACITI			
4.a	Creation of Bids and Awards Committee(s)	Fully Compliant	3.00		Verify copy of Order creating BAC; Organizational Chart; and Certification of Training
4.b	Presence of a BAC Secretariat or Procurement Unit	Fully Compliant	3.00		Verify copy of Order creating BAC Secretariat; Organizational Chart; and Certification of Training
la di	F. Dunarana A. Diana in and transfer and the				
	ator 5. Procurement Planning and Implementation	_			F
5.a	An approved APP that includes all types of procurement	Not Compliant	0.00		Copy of APP and its supplements (if any)
5.b	Preparation of Annual Procurement Plan for Common-Use Supplies and Equipment (APP-CSE) and Procurement of Common-Use Supplies and Equipment from the Procurement Service	Not Compliant	0.00		APP, APP-CSE, PMR
5.c	Existing Green Specifications for GPPB-identified non-CSE items are adopted	Compliant	3.00		ITBs and/or RFQs clearly indicate the use of green technical specifications for the procurement activity
Indi	ator 6. Use of Government Electronic Procurement System				

Name of Agency: <u>DMMMSU-NLUC</u>	Name of Evaluator:
Date of Self Assessment:	Position:

No.	Assessment Conditions	Agency Score	APCPI Rating*	Comments/Findings to the Indicators and SubIndicators	Supporting Information/Documentation (Not to be Included in the Evaluation
6.a	Percentage of bid opportunities posted by the PhilGEPS- registered Agency	66.67%	0.00		Agency records and/or PhilGEPS records
6.b	Percentage of contract award information posted by the PhilGEPS-registered Agency	100.00%	3.00		Agency records and/or PhilGEPS records
6.c	Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency	0.00%	0.00		Agency records and/or PhilGEPS records

Name of Agency: <u>DMMMSU-NLUC</u>	Name of Evaluator:
Date of Self Assessment:	Position:
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No.	Assessment Conditions	Agency Score	APCPI Rating*	Comments/Findings to the Indicators and SubIndicators	Supporting Information/Documentation (Not to be Included in the Evaluation
Indic	ator 7. System for Disseminating and Monitoring Procuremen	t Information			
7.a	Presence of website that provides up-to-date procurement information easily accessible at no cost	Fully Compliant	3.00		Identify specific procurement-related portion in the agency website and specific website links
7.b	Preparation of Procurement Monitoring Reports using the GPPB-prescribed format, submission to the GPPB, and posting in agency website	Substantially Compliant	2.00		Copy of PMR and received copy that it was submitted to GPPB
DILL	AR III. PROCUREMENT OPERATIONS AND MARKET PRACTICES	Average II	1.70		
	ator 8. Efficiency of Procurement Processes				
					ABB /:
8.a	Percentage of total amount of contracts signed within the assessment year against total amount in the approved APPs	70.99%	2.00		APP (including Supplemental amendments, if any) and PMRs
8.b	Percentage of total number of contracts signed against total number of procurement projects done through competitive bidding	53.85%	0.00		APP(including Supplemental amendments, if any)and PMRs
8.c	Planned procurement activities achieved desired contract outcomes and objectives within the target/allotted timeframe	Fully Compliant	3.00		Agency Procedures/Systems for the conduct of needs analysis or market research, monitoring of timely delivery of goods, works, or services Contracts with amendments and variations
					to order amount to 10% or less
	A Consider the December of Table 1				
ındic	ator 9. Compliance with Procurement Timeframes Percentage of contracts awarded within prescribed period of		1		
9.a	action to procure goods	100.00%	3.00		PMRs
9.b	Percentage of contracts awarded within prescribed period of action to procure infrastructure projects	100.00%	3.00		PMRs
9.c	Percentage of contracts awarded within prescribed period of action to procure consulting services	n/a	n/a		PMRs
			• •		
inaic	ator 10. Capacity Building for Government Personnel and Priv	ate Sector Parti	cipants		Samples of forms used to evaluating
10.a	There is a system within the procuring entity to evaluate the performance of procurement personnel on a regular basis	Fully Compliant	3.00		procurement performance on top of or incorporated within the regular assessment for Procurement Personnel
10.b	procurement training and/or professionalization program	#VALUE!	#VALUE!		Ask for copies of Office Orders, training modules, list of participants, schedules of actual training conducted
10.c	The procuring entity has open dialogue with private sector and ensures access to the procurement opportunities of the procuring entity	Compliant	3.00		Ask for copies of documentation of activities for bidders
India	ator 11 Management of Progurement and Contract Managem	aont Bosords			
maic	ator 11. Management of Procurement and Contract Managen	ient kecoras			L
11.a	The BAC Secretariat has a system for keeping and maintaining procurement records	Fully Compliant	3.00		Verify actual procurement records and time it took to retrieve records (should be no more than two hours) Refer to Section 4.1 of User's Manual for list of procurement-related documents for record-keeping and maintenance.
11.b	Implementing Units has and is implementing a system for keeping and maintaining complete and easily retrievable contract management records	Fully Compliant	3.00		Verify actual contract management records and time it took to retrieve records should be no more than two hours
Indic	ator 12. Contract Management Procedures				

Name of Agency: <u>DMMMSU-NLUC</u>	Name of Evaluator:
Date of Self Assessment:	Position:

No.	Assessment Conditions	Agency Score	APCPI Rating*	Comments/Findings to the Indicators and SubIndicators	Supporting Information/Documentation (Not to be Included in the Evaluation
12.a	Agency has defined procedures or standards in such areas as quality control, acceptance and inspection, supervision of works and evaluation of contractors' performance	Substantially Compliant	2.00		Verify copies of written procedures for quality control, acceptance and inspection; CPES evaluation formsz
12.b	Timely Payment of Procurement Contracts	On or before 30 days	3.00		Ask Finance or Accounting Head of Agency for average period for the release of payments for procurement contracts
		Average III	#VALUE!		

Name of Agency: <u>DMMMSU-NLUC</u>	Name of Evaluator:
Date of Self Assessment:	Position:

No.	Assessment Conditions	Agency Score	APCPI Rating*	Comments/Findings to the Indicators and SubIndicators	Supporting Information/Documentation (Not to be Included in the Evaluation
PILL/	AR IV. INTEGRITY AND TRANSPARENCY OF AGENCY PROCUREN	MENT SYSTEM			
Indi	cator 13. Observer Participation in Public Bidding				
13.a	Observers are invited to attend stages of procurement as prescribed in the IRR	Fully Compliant	3.00		Verify copies of Invitation Letters to CSOs and professional associations and COA (List and average number of CSOs and PAs invited shall be noted.)
Indic	ator 14. Internal and External Audit of Procurement Activitie	S			
14.a	Creation and operation of Internal Audit Unit (IAU) that performs specialized procurement audits	Fully Compliant	3.00		Verify copy of Order or show actual organizational chart showing IAU, auidt reports, action plans and IAU recommendations
14.b	Audit Reports on procurement related transactions	Above 90- 100% compliance	3.00		Verify COA Annual Audit Report on Action on Prior Year's Audit Recommendations
Indic	ator 15. Capacity to Handle Procurement Related Complaints				
	The Procuring Entity has an efficient procurement complaints system and has the capacity to comply with procedural requirements	Fully Compliant	3.00		Verify copies of BAC resolutions on Motion for Reconsiderations, Protests and Complaints; Office Orders adopting mesures to address procurement-related complaints
16.a	ator 16. Anti-Corruption Programs Related to Procurement Agency has a specific anti-corruption program/s related to procurement	Fully Compliant	3.00		Verify documentation of anti-corruption program
		Average IV	3.00		
GRA	ND TOTAL (Avarege I + Average II + Average III + Average IV /	1)	#VALUE!		

	APCPI Pillars	Ideal Rating	Agency Rating
ı	Legislative and Regulatory Framework	3.00	0.82
II	Agency Insitutional Framework and Management Capacity	3.00	1.70
Ш	Procurement Operations and Market Practices	3.00	#VALUE!
IV	Integrity and Transparency of Agency Procurement Systems	3.00	3.00
	Total (Pillar I+Pillar II+Pillar III+ PillarIV)/4	3.00	#VALUE!



Name of Agency: DMMMSU-MLUC	Name of Evaluator:
Date of Self Assessment:	Position:
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No.	Assessment Conditions	Agency Score	APCPI Rating*	Comments/Findings to the	Supporting Information/Documentation
DILLA	R I. LEGISLATIVE AND REGULATORY FRAMEWORK			Indicators and SubIndicators	(Not to be Included in the Evaluation
	ator 1. Competitive Bidding as Default Method of Procureme	nt			
1.a	Percentage of competitive bidding and limited source bidding contracts in terms of amount of total procurement	54.87%	0.00		PMRs
1.b	Percentage of competitive bidding and limited source bidding contracts in terms of volume of total procurement	0.84%	0.00		PMRs
Indic	ator 2. Limited Use of Alternative Methods of Procurement				
2.a	Percentage of shopping contracts in terms of amount of total procurement	14.63%	0.00		PMRs
2.b	Percentage of negotiated contracts in terms of amount of total procurement	20.50%	0.00		PMRs
2.c	Percentage of direct contracting in terms of amount of total procurement	10.00%	0.00		PMRs
2.d	Percentage of repeat order contracts in terms of amount of total procurement	0.00%	3.00		PMRs
2.e	Compliance with Repeat Order procedures	n/a	n/a		Procurement documents relative to conduct of Repeat Order
2.f	Compliance with Limited Source Bidding procedures	n/a	n/a		Procurement documents relative to conduct of Limited Source Bidding
Indic	ator 3. Competitiveness of the Bidding Process				
	Average number of entities who acquired bidding documents	3.63	1.00		Agency records and/or PhilGEPS records
3.b	Average number of bidders who submitted bids	2.75	1.00		Abstract of Bids or other agency records
3.c	Average number of bidders who passed eligibility stage	2.75	2.00		Abstract of Bids or other agency records
3.d	Sufficiency of period to prepare bids	Fully Compliant	3.00		Agency records and/or PhilGEPS records
3.e	Use of proper and effective procurement documentation and technical specifications/requirements	Fully Compliant	3.00		Cost Benefit Analysis, Work Plans, Technical Specifications included in bidding documents
		Average I	1.18		1
PILLA	IR II. AGENCY INSTITUTIONAL FRAMEWORK AND MANAGEME		1.10		
Indic	ator 4. Presence of Procurement Organizations				
4.a	Creation of Bids and Awards Committee(s)	Not Compliant	0.00		Verify copy of Order creating BAC; Organizational Chart; and Certification of Training
4.b	Presence of a BAC Secretariat or Procurement Unit	Not Compliant	0.00		Verify copy of Order creating BAC Secretariat; Organizational Chart; and Certification of Training
					<u> </u>
	ator 5. Procurement Planning and Implementation				1
5.a	An approved APP that includes all types of procurement	Not Compliant	0.00		Copy of APP and its supplements (if any)
5.b	Preparation of Annual Procurement Plan for Common-Use Supplies and Equipment (APP-CSE) and Procurement of Common-Use Supplies and Equipment from the Procurement Service	Not Compliant	0.00		APP, APP-CSE, PMR
5.c	Existing Green Specifications for GPPB-identified non-CSE items are adopted	Compliant	3.00		ITBs and/or RFQs clearly indicate the use of green technical specifications for the procurement activity
Indic	ator 6. Use of Government Electronic Procurement System				

Name of Agency: DMMMSU-MLUC	Name of Evaluator:
Date of Self Assessment:	Position:

No.	Assessment Conditions	Agency Score	APCPI Rating*	Comments/Findings to the Indicators and SubIndicators	Supporting Information/Documentation (Not to be Included in the Evaluation
6.a	Percentage of bid opportunities posted by the PhilGEPS- registered Agency	100.00%	3.00		Agency records and/or PhilGEPS records
6.b	Percentage of contract award information posted by the PhilGEPS-registered Agency	100.00%	3.00		Agency records and/or PhilGEPS records
6.c	Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency	49.72%	1.00		Agency records and/or PhilGEPS records

Name of Agency: DMMMSU-MLUC	Name of Evaluator:
Date of Self Assessment:	Position:

No.	Assessment Conditions	Agency Score	APCPI Rating*	Comments/Findings to the Indicators and SubIndicators	Supporting Information/Documentation (Not to be Included in the Evaluation
Indic	ator 7. System for Disseminating and Monitoring Procuremen	t Information			
7.a	Presence of website that provides up-to-date procurement information easily accessible at no cost	Not Compliant	0.00		Identify specific procurement-related portion in the agency website and specific website links
7.b	Preparation of Procurement Monitoring Reports using the GPPB-prescribed format, submission to the GPPB, and posting in agency website	Partially Compliant	1.00		Copy of PMR and received copy that it was submitted to GPPB
DII I	AR III. PROCUREMENT OPERATIONS AND MARKET PRACTICES	Average II	1.10		
	ator 8. Efficiency of Procurement Processes				
					ABB /:
8.a	Percentage of total amount of contracts signed within the assessment year against total amount in the approved APPs	95.58%	3.00		APP (including Supplemental amendments, if any) and PMRs
8.b	Percentage of total number of contracts signed against total number of procurement projects done through competitive bidding	100.00%	3.00		APP(including Supplemental amendments, if any)and PMRs
8.c	Planned procurement activities achieved desired contract outcomes and objectives within the target/allotted timeframe	Fully Compliant	3.00		Agency Procedures/Systems for the conduct of needs analysis or market research, monitoring of timely delivery of goods, works, or services Contracts with amendments and variations to order amount to 10% or less
					to order amount to 10% or less
India	ator 9. Compliance with Procurement Timeframes				
	Percentage of contracts awarded within prescribed period of				
9.a	action to procure goods	100.00%	3.00		PMRs
9.b	Percentage of contracts awarded within prescribed period of action to procure infrastructure projects	100.00%	3.00		PMRs
9.c	Percentage of contracts awarded within prescribed period of action to procure consulting services	n/a	n/a		PMRs
1	-t 10 Councits Building for Councils Developed and British				
inaic	ator 10. Capacity Building for Government Personnel and Priv	ate Sector Parti	cipants		Samples of forms used to evaluating
10.a	There is a system within the procuring entity to evaluate the performance of procurement personnel on a regular basis	Fully Compliant	3.00		procurement performance on top of or incorporated within the regular assessment for Procurement Personnel
10.b	Percentage of participation of procurement staff in procurement training and/or professionalization program	0.00%	0.00		Ask for copies of Office Orders, training modules, list of participants, schedules of actual training conducted
10.c	The procuring entity has open dialogue with private sector and ensures access to the procurement opportunities of the procuring entity	Compliant	3.00		Ask for copies of documentation of activities for bidders
11! .	at and 11. Management of Discourse and and Combined Management	t D			
indic	ator 11. Management of Procurement and Contract Managen	nent Kecords			Τ .
11.a	The BAC Secretariat has a system for keeping and maintaining procurement records	Fully Compliant	3.00		Verify actual procurement records and time it took to retrieve records (should be no more than two hours) Refer to Section 4.1 of User's Manual for list of procurement-related documents for record-keeping and maintenance.
11.b	Implementing Units has and is implementing a system for keeping and maintaining complete and easily retrievable contract management records	Fully Compliant	3.00		Verify actual contract management records and time it took to retrieve records should be no more than two hours
Indic	ator 12. Contract Management Procedures				

Name of Agency: DMMMSU-MLUC	Name of Evaluator:
Date of Self Assessment:	Position:

No.	Assessment Conditions	Agency Score	APCPI Rating*	Comments/Findings to the Indicators and SubIndicators	Supporting Information/Documentation (Not to be Included in the Evaluation
12.a	Agency has defined procedures or standards in such areas as quality control, acceptance and inspection, supervision of works and evaluation of contractors' performance	Partially Compliant	1.00		Verify copies of written procedures for quality control, acceptance and inspection; CPES evaluation formsz
12.b	Timely Payment of Procurement Contracts	After 45 days	0.00		Ask Finance or Accounting Head of Agency for average period for the release of payments for procurement contracts
		Average III	2.33		

Name of Agency: DMMMSU-MLUC	Name of Evaluator:
Date of Self Assessment:	Position:

No.	T			Comments/Findings to the	Supporting Information/Documentation
110.	Assessment Conditions	Agency Score	APCPI Rating*	Indicators and SubIndicators	(Not to be Included in the Evaluation
PILL	I AR IV. INTEGRITY AND TRANSPARENCY OF AGENCY PROCURE	MENT SYSTEM		malcators and Submalcators	(Not to be included in the Evaluation
Indi	cator 13. Observer Participation in Public Bidding				
13.a	Observers are invited to attend stages of procurement as prescribed in the IRR	Fully Compliant	3.00		Verify copies of Invitation Letters to CSOs and professional associations and COA (List and average number of CSOs and PAs invited shall be noted.)
lus alta	cator 14. Internal and External Audit of Procurement Activitie				
inaid	ator 14. Internal and External Audit of Procurement Activitie	S I			Verification of Order and become should
14.a	Creation and operation of Internal Audit Unit (IAU) that performs specialized procurement audits	Not Compliant	0.00		Verify copy of Order or show actual organizational chart showing IAU, auidt reports, action plans and IAU recommendations
14.b	Audit Reports on procurement related transactions	Below 60% compliance	0.00		Verify COA Annual Audit Report on Action on Prior Year's Audit Recommendations
India	cator 15. Capacity to Handle Procurement Related Complaints	<u> </u>			
	The Procuring Entity has an efficient procurement complaints system and has the capacity to comply with procedural requirements	Fully Compliant	3.00		Verify copies of BAC resolutions on Motion for Reconsiderations, Protests and Complaints; Office Orders adopting mesures to address procurement-related complaints
Indic	ator 16. Anti-Corruption Programs Related to Procurement				
16.a	Agency has a specific anti-corruption program/s related to procurement	Fully Compliant	3.00		Verify documentation of anti-corruption program
		Average IV	1.80		
GRA	ND TOTAL (Avarege I + Average II + Average III + Average IV /	4)	1.60		

	APCPI Pillars	Ideal Rating	Agency Rating
ı	Legislative and Regulatory Framework	3.00	1.18
П	Agency Insitutional Framework and Management Capacity	3.00	1.10
Ш	Procurement Operations and Market Practices	3.00	2.33
IV	Integrity and Transparency of Agency Procurement Systems	3.00	1.80
	Total (Pillar I+Pillar II+Pillar III+ PillarIV)/4	3.00	1.60



ANNEX A GOVERNMENT PROCUREMENT Agency Procurement

Name of Agency: DMMMSU-SLUC
Date of Self Assessment: AUGUST 1, 2018

Name of Evaluator: FLORIBETH P CUISON

Position: Chancellor

٢	No.	Assessment Conditions	Agency Score	APCPI Rating*	Comments/Findings to the Indicators and SubIndicators	Comments	Supporting Information/Documentation (Not to be Included in the Evaluation
F	PILLA	R I. LEGISLATIVE AND REGULATORY FRAMEWORK				•	
<u> </u>	ndica	ator 1. Competitive Bidding as Default Method of Procuremen	nt				
		Percentage of competitive bidding and limited source					
1 1		bidding contracts in terms of amount of total procurement	28.34%	0.00			PMRs
		Percentage of competitive bidding and limited source					
2 1	I.b	bidding contracts in terms of volume of total	1.13%	0.00			PMRs
		procurement					
L							
<u> </u>		ator 2. Limited Use of Alternative Methods of Procurement					
3 2	<i>,</i> 2 1	Percentage of shopping contracts in terms of amount of total procurement	52.14%	0.00			PMRs
4 2		Percentage of negotiated contracts in terms of amount of total procurement	16.54%	0.00			PMRs
5 2	2.c	Percentage of direct contracting in terms of amount of total procurement	2.98%	2.00			PMRs
6 2	<i>'</i> (1	Percentage of repeat order contracts in terms of amount of total procurement	0.00%	3.00			PMRs
7 2	2.e	Compliance with Repeat Order procedures	n/a	n/a			Procurement documents relative to conduct of Repeat Order
8 2	2.f	Compliance with Limited Source Bidding procedures	n/a	n/a			Procurement documents relative to conduct of Limited Source Bidding
L							
Ľ		ator 3. Competitiveness of the Bidding Process				1	
9 3	3.a	Average number of entities who acquired bidding documents	3.25	1.00			Agency records and/or PhilGEPS records
10 3	3.b	Average number of bidders who submitted bids	3.25	2.00			Abstract of Bids or other agency records

11	3.c	Average number of bidders who passed eligibility stage	2.75	2.00	Abstract of Bids or other agency records
12	3.d	Sufficiency of period to prepare bids	Substantially Compliant	2.00	Agency records and/or PhilGEPS records
13	3.e	Use of proper and effective procurement documentation and technical specifications/requirements	Fully Compliant	3.00	Cost Benefit Analysis, Work Plans, Technical Specifications included in bidding documents
			Average	1.26	
	PILL/	AR II. AGENCY INSTITUTIONAL FRAMEWORK AND MANAGEME	Average I NT CAPACITY	1.36	
		ator 4. Presence of Procurement Organizations			
14	4.a	Creation of Bids and Awards Committee(s)	Fully Compliant	3.00	Verify copy of Order creating BAC; Organizational Chart; and Certification of Training
15	4.b	Presence of a BAC Secretariat or Procurement Unit	Fully Compliant	3.00	Verify copy of Order creating BAC Secretariat; Organizational Chart; and Certification of Training
	Indic	ator 5. Procurement Planning and Implementation			
16		An approved APP that includes all types of procurement	Not Compliant	0.00	Copy of APP and its supplements (if any)
17	5.b	Preparation of Annual Procurement Plan for Common- Use Supplies and Equipment (APP-CSE) and Procurement of Common-Use Supplies and Equipment from the Procurement Service	Not Compliant	0.00	APP, APP-CSE, PMR
18	5.c	Existing Green Specifications for GPPB-identified non- CSE items are adopted	Compliant	3.00	ITBs and/or RFQs clearly indicate the use of green technical specifications for the procurement activity
	م ذاء ما ا	ator 6. Use of Government Electronic Procurement System			
		Percentage of bid opportunities posted by the			Agency records and/or PhilGEPS
19		PhilGEPS-registered Agency	1.45%	0.00	records
20	C h	Percentage of contract award information posted by the PhilGEPS-registered Agency	100.00%	3.00	Agency records and/or PhilGEPS records
21		Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency	20.64%	1.00	Agency records and/or PhilGEPS records
	lua -11	atou 7 Contour for Discouringting and Marchaele D	4 Information		
	Indic	ator 7. System for Disseminating and Monitoring Procuremen			Identify specific procurement-related
22	7.a	Presence of website that provides up-to-date procurement information easily accessible at no cost	Fully Compliant	3.00	portion in the agency website and specific website links

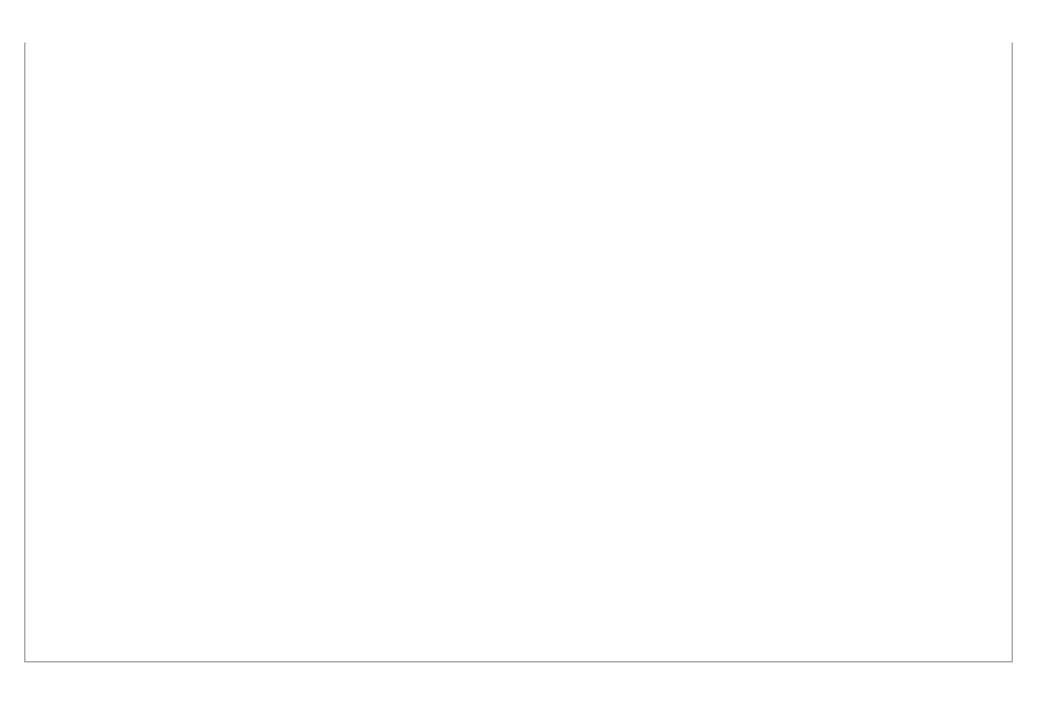
23	7.b	Preparation of Procurement Monitoring Reports using the GPPB-prescribed format, submission to the GPPB, and posting in agency website	Partially Compliant	1.00	Copy of PMR and received copy t was submitted to GPPB	that it
			Average II	1.70		
	PILL/	AR III. PROCUREMENT OPERATIONS AND MARKET PRACTICES		2.00		
	Indic	ator 8. Efficiency of Procurement Processes				
24	8.a	Percentage of total amount of contracts signed within the assessment year against total amount in the approved APPs	0.00%	0.00	APP (including Supplemental amendments, if any) and PMRs	
25	8.b	Percentage of total number of contracts signed against total number of procurement projects done through competitive bidding	100.00%	3.00	APP(including Supplemental amendments, if any)and PMRs	
26		Planned procurement activities achieved desired contract outcomes and objectives within the target/allotted timeframe	Not Compliant	0.00	Agency Procedures/Systems for t conduct of needs analysis or marl research, monitoring of timely deli of goods, works, or services Contracts with amendments and variations to order amount to 10% less	ket ivery
		ator 9. Compliance with Procurement Timeframes				
27	9.a	Percentage of contracts awarded within prescribed period of action to procure goods	100.00%	3.00	PMRs	
28	9.b	Percentage of contracts awarded within prescribed period of action to procure infrastructure projects	100.00%	3.00	PMRs	
29	9.c	Percentage of contracts awarded within prescribed period of action to procure consulting services	n/a	n/a	PMRs	
	Indic	ator 10. Capacity Building for Government Personnel and Priv	ate Sector Parti	cipants	j joannpies or roinns used to evaluat	ung
30	10.a		Fully Compliant	3.00	procurement performance on top incorporated within the regular assessment for Procurement	
31	10.b	Percentage of participation of procurement staff in procurement training and/or professionalization program	100.00%	3.00	Ask for copies of Office Orders, training modules, list of participan schedules of actual training condu	
32	10.c	The procuring entity has open dialogue with private sector and ensures access to the procurement opportunities of the procuring entity	Compliant	3.00	Ask for copies of documentation of activities for bidders	of
		10.44 M				
	inaic	ator 11. Management of Procurement and Contract Managen	nent Kecoras			

33	11.a	The BAC Secretariat has a system for keeping and maintaining procurement records	Fully Compliant	3.00	Verify actual procurement records and time it took to retrieve records (should be no more than two hours) Refer to Section 4.1 of User's Manual for list of procurement-related documents for record-keeping and maintenance.
34	11.b	Implementing Units has and is implementing a system for keeping and maintaining complete and easily retrievable contract management records	Fully Compliant	3.00	Verify actual contract management records and time it took to retrieve records should be no more than two hours
	Indica	ator 12. Contract Management Procedures			
	12.a	Agency has defined procedures or standards in such areas as quality control, acceptance and inspection, supervision of works and evaluation of contractors' performance	Substantially Compliant	2.00	Verify copies of written procedures for quality control, acceptance and inspection; CPES evaluation formsz
36	12.b	Timely Payment of Procurement Contracts	On or before 30 days	3.00	Ask Finance or Accounting Head of Agency for average period for the release of payments for procurement contracts
			Average III	2.42	
	PILLA	R IV. INTEGRITY AND TRANSPARENCY OF AGENCY PROCUREI	•	2.42	
	Indica	ator 13. Observer Participation in Public Bidding			
37	13.a	Observers are invited to attend stages of procurement as prescribed in the IRR	Substantially Compliant	2.00	Verify copies of Invitation Letters to CSOs and professional associations and COA (List and average number of CSOs and PAs invited shall be noted.)
	Indica	ator 14. Internal and External Audit of Procurement Activitie	6		
	1/l a	Creation and operation of Internal Audit Unit (IAU) that performs specialized procurement audits	Substantially Compliant	2.00	Verify copy of Order or show actual organizational chart showing IAU, auidt reports, action plans and IAU recommendations
39	14.b	Audit Reports on procurement related transactions	Above 90- 100% compliance	3.00	Verify COA Annual Audit Report on Action on Prior Year's Audit Recommendations
	Indica	ator 15. Capacity to Handle Procurement Related Complaints	<u> </u>		
1		and an apparation to the same	-		

40	15.a	The Procuring Entity has an efficient procurement complaints system and has the capacity to comply with procedural requirements	Fully Compliant	3.00	Verify copies of BAC resolutions on Motion for Reconsiderations, Protests and Complaints; Office Orders adopting mesures to address procurement-related complaints
	Indic	ator 16. Anti-Corruption Programs Related to Procurement			
41	16.a	Agency has a specific anti-corruption program/s related to procurement	Not Compliant	0.00	Verify documentation of anti-corruption program
			Average IV	2.00	
	GRAND TOTAL (Avarege I + Average II + Average III + Average IV / 4)			1.87	

		APCPI Pillars	Ideal Rating	Agency Rating
Pillar	1	Legislative and Regulatory Framework	3.00	1.36
Pillar	П	Agency Insitutional Framework and Management Capacity	3.00	1.70
Pillar	Ш	Procurement Operations and Market Practices	3.00	2.42
Pillar	IV	Integrity and Transparency of Agency Procurement Systems	3.00	2.00
		Total (Pillar I+Pillar II+Pillar III+ PillarIV)/4	3.00	1.87





Name of Agency:	Name of Evaluator:
Date of Self Assessment:	Position:

No.	Assessment Conditions	Agency Score	APCPI Rating*	Comments/Findings to the Indicators and SubIndicators	Supporting Information/Documentation (Not to be Included in the Evaluation
PILL	AR I. LEGISLATIVE AND REGULATORY FRAMEWORK		I	maicators and Submaicators	(Not to be included in the Evaluation
Indic	ator 1. Competitive Bidding as Default Method of Procureme	nt			_
1.a	Percentage of competitive bidding and limited source bidding contracts in terms of amount of total procurement	0.00%	0.00		PMRs
1.b	Percentage of competitive bidding and limited source bidding contracts in terms of volume of total procurement	0.00%	0.00		PMRs
Indic	ator 2. Limited Use of Alternative Methods of Procurement Percentage of shopping contracts in terms of amount of		Π		
2.a	total procurement	58.97%	0.00		PMRs
2.b	Percentage of negotiated contracts in terms of amount of total procurement	0.00%	3.00		PMRs
2.c	Percentage of direct contracting in terms of amount of total procurement	41.03%	0.00		PMRs
2.d	Percentage of repeat order contracts in terms of amount of total procurement	0.00%	3.00		PMRs
2.e	Compliance with Repeat Order procedures	n/a	n/a		Procurement documents relative to conduct of Repeat Order
2.f	Compliance with Limited Source Bidding procedures	n/a	n/a		Procurement documents relative to conduct of Limited Source Bidding
Indic	ator 3. Competitiveness of the Bidding Process				1
3.a	Average number of entities who acquired bidding documents	n/a	n/a		Agency records and/or PhilGEPS records
3.b	Average number of bidders who submitted bids	n/a	n/a		Abstract of Bids or other agency records
3.c	Average number of bidders who passed eligibility stage	n/a	n/a		Abstract of Bids or other agency records
3.d	Sufficiency of period to prepare bids	n/a	n/a		Agency records and/or PhilGEPS records
3.e	Use of proper and effective procurement documentation and technical specifications/requirements	Substantially Compliant	2.00		Cost Benefit Analysis, Work Plans, Technical Specifications included in bidding documents
-		Average I	1.14		
PILL	AR II. AGENCY INSTITUTIONAL FRAMEWORK AND MANAGEM		1.14		
Indic	ator 4. Presence of Procurement Organizations		1		
4.a	Creation of Bids and Awards Committee(s)	Not Compliant	0.00		Verify copy of Order creating BAC; Organizational Chart; and Certification of Training
4.b	Presence of a BAC Secretariat or Procurement Unit	Not Compliant	0.00		Verify copy of Order creating BAC Secretariat; Organizational Chart; and Certification of Training
Indic	ator 5. Procurement Planning and Implementation	1			1
5.a	An approved APP that includes all types of procurement	Not Compliant	0.00		Copy of APP and its supplements (if any)
5.b	Preparation of Annual Procurement Plan for Common-Use Supplies and Equipment (APP-CSE) and Procurement of Common-Use Supplies and Equipment from the Procurement Service	Not Compliant	0.00		APP, APP-CSE, PMR
5.c	Existing Green Specifications for GPPB-identified non-CSE items are adopted	n/a	n/a		ITBs and/or RFQs clearly indicate the use of green technical specifications for the procurement activity
India	ator 6. Use of Government Electronic Procurement System				
6.a	Percentage of bid opportunities posted by the PhilGEPS- registered Agency	0.00%	0.00		Agency records and/or PhilGEPS records
6.b	Percentage of contract award information posted by the PhilGEPS-registered Agency	n/a	n/a		Agency records and/or PhilGEPS records
6.c	Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency	0.00%	0.00		Agency records and/or PhilGEPS records

ANNEX A GOVERNMENT PROCUREMENT POLICY BOARD

Agency Procurement Compliance and Performance Indicator (APCPI) Self-Assessment Form

Name of Agency:	Name of Evaluator:
Date of Self Assessment:	Position:

No.	Assessment Conditions	Agency Score	APCPI Rating*	Comments/Findings to the Indicators and SubIndicators	Supporting Information/Documentation (Not to be Included in the Evaluation
Indic	ator 7. System for Disseminating and Monitoring Procuremen	t Information	I	indicators and Submulcators	(NOT to be included in the Evaluation
7.a	Presence of website that provides up-to-date procurement information easily accessible at no cost	Not Compliant	0.00		Identify specific procurement-related portion in the agency website and specific website links
7.b	Preparation of Procurement Monitoring Reports using the GPPB-prescribed format, submission to the GPPB, and posting in agency website	Not Compliant	0.00		Copy of PMR and received copy that it was submitted to GPPB
		Average II	0.00		
PILLA	AR III. PROCUREMENT OPERATIONS AND MARKET PRACTICES		0.00		
Indic	ator 8. Efficiency of Procurement Processes			T	
8.a	Percentage of total amount of contracts signed within the assessment year against total amount in the approved APPs	62.65%	2.00		APP (including Supplemental amendments, if any) and PMRs
8.b	Percentage of total number of contracts signed against total number of procurement projects done through competitive bidding	n/a	n/a		APP(including Supplemental amendments, if any)and PMRs
8.c	Planned procurement activities achieved desired contract outcomes and objectives within the target/allotted timeframe	Not Compliant	0.00		Agency Procedures/Systems for the conduct of needs analysis or market research, monitoring of timely delivery of goods, works, or services Contracts with amendments and
					variations to order amount to 10% or less
"	atom C. Carrolliana a with Process				
	ator 9. Compliance with Procurement Timeframes Percentage of contracts awarded within prescribed period of				
9.a	action to procure goods	n/a	n/a		PMRs
9.b	Percentage of contracts awarded within prescribed period of action to procure infrastructure projects Percentage of contracts awarded within prescribed period of	n/a	n/a		PMRs
9.c	action to procure consulting services	n/a	n/a		PMRs
Loral Co.	A. Compile Building for Community Burns and Building for Community Burns and Building for Community Burns and Burns		-1		
inaic	ator 10. Capacity Building for Government Personnel and Priv	ate Sector Parti	cipants		Samples of forms used to evaluating
10.a	There is a system within the procuring entity to evaluate the performance of procurement personnel on a regular basis	Not Compliant	0.00		procurement performance on top of or incorporated within the regular assessment for Procurement Personnel
10.b	Percentage of participation of procurement staff in procurement training and/or professionalization program	0.00%	0.00		Ask for copies of Office Orders, training modules, list of participants, schedules of actual training conducted
10.c	The procuring entity has open dialogue with private sector and ensures access to the procurement opportunities of the procuring entity	Not Compliant	0.00		Ask for copies of documentation of activities for bidders
Indic	ator 11. Management of Procurement and Contract Manager	nent Pecords			
muic	ator 11. management of Frocurement and Contract Manager	nem necorus			Verify actual procurement records and
11.a	The BAC Secretariat has a system for keeping and maintaining procurement records	Not Compliant	0.00		time it took to retrieve records (should be no more than two hours) Refer to Section 4.1 of User's Manual for list of procurement-related documents for record-keeping and maintenance.
11.b	Implementing Units has and is implementing a system for keeping and maintaining complete and easily retrievable contract management records	Not Compliant	0.00		Verify actual contract management records and time it took to retrieve records should be no more than two hours
- الموا	ator 12 Contract Management Presedures				<u> </u>
	ator 12. Contract Management Procedures Agency has defined procedures or standards in such areas as quality control, acceptance and inspection, supervision of works and evaluation of contractors' performance	Not Compliant	0.00		Verify copies of written procedures for quality control, acceptance and inspection; CPES evaluation formsz
12.b	Timely Payment of Procurement Contracts	After 45 days	0.00		Ask Finance or Accounting Head of Agency for average period for the release of payments for procurement contracts
<u> </u>		Average III	0.22		<u> </u>
		Average III	0.22		

ANNEX A

GOVERNMENT PROCUREMENT POLICY BOARD

Agency Procurement Compliance and Performance Indicator (APCPI) Self-Assessment Form

Name of Agency:	Name of Evaluator:
Date of Self Assessment:	Position:

No.	Assessment Conditions	Agency Score	APCPI Rating*	Comments/Findings to the Indicators and SubIndicators	Supporting Information/Documentation (Not to be Included in the Evaluation			
PILL	LAR IV. INTEGRITY AND TRANSPARENCY OF AGENCY PROCUREMENT SYSTEM							
Indi	ndicator 13. Observer Participation in Public Bidding							
13.a	Observers are invited to attend stages of procurement as prescribed in the IRR	n/a	n/a		Verify copies of Invitation Letters to CSOs and professional associations and COA (List and average number of CSOs and PAs invited shall be noted.)			
Indic	ator 14. Internal and External Audit of Procurement Activities	<u> </u>						
14.a	Creation and operation of Internal Audit Unit (IAU) that performs specialized procurement audits	Not Compliant	0.00		Verify copy of Order or show actual organizational chart showing IAU, auidt reports, action plans and IAU recommendations			
14.b	Audit Reports on procurement related transactions	Below 60% compliance	0.00		Verify COA Annual Audit Report on Action on Prior Year's Audit Recommendations			
la alia	ator 15. Capacity to Handle Procurement Related Complaints							
	The Procuring Entity has an efficient procurement complaints system and has the capacity to comply with procedural requirements	Not Compliant	0.00		Verify copies of BAC resolutions on Motion for Reconsiderations, Protests and Complaints; Office Orders adopting mesures to address procurement-related complaints			
Indic	cator 16. Anti-Corruption Programs Related to Procurement	_			here is a second			
16.a	Agency has a specific anti-corruption program/s related to procurement	Not Compliant	0.00		Verify documentation of anti-corruption program			
	· · · · · · · · · · · · · · · · · · ·	Average IV	0.00	-	·			
GRA	ND TOTAL (Avarege I + Average II + Average III + Average IV /	4)	0.34					

	APCPI Pillars	Ideal Rating	Agency Rating
- 1	Legislative and Regulatory Framework	3.00	1.14
П	Agency Insitutional Framework and Management Capacity	3.00	0.00
Ш	Procurement Operations and Market Practices	3.00	0.22
IV	Integrity and Transparency of Agency Procurement Systems	3.00	0.00
	Total (Pillar I+Pillar II+Pillar III+ PillarIV)/4	3.00	0.34



Name of Agency:	Name of Evaluator:
Date of Self Assessment:	Position:

No.	Assessment Conditions	Agency Score	APCPI Rating*	Comments/Findings to the	Supporting Information/Documentation		
DILLA	R I. LEGISLATIVE AND REGULATORY FRAMEWORK			Indicators and SubIndicators	(Not to be Included in the Evaluation		
	ator 1. Competitive Bidding as Default Method of Procureme	nt					
1.a	Percentage of competitive bidding and limited source bidding contracts in terms of amount of total procurement	0.00%	0.00		PMRs		
1.b	Percentage of competitive bidding and limited source bidding contracts in terms of volume of total procurement	0.00%	0.00		PMRs		
Indic	ator 2. Limited Use of Alternative Methods of Procurement						
2.a	Percentage of shopping contracts in terms of amount of total procurement	48.39%	0.00		PMRs		
2.b	Percentage of negotiated contracts in terms of amount of total procurement	0.00%	3.00		PMRs		
2.c	Percentage of direct contracting in terms of amount of total procurement	51.61%	0.00		PMRs		
2.d	Percentage of repeat order contracts in terms of amount of total procurement	0.00%	3.00		PMRs		
2.e	Compliance with Repeat Order procedures	n/a	n/a		Procurement documents relative to conduct of Repeat Order		
2.f	Compliance with Limited Source Bidding procedures	n/a	n/a		Procurement documents relative to conduct of Limited Source Bidding		
India	ator 3. Competitiveness of the Bidding Process						
	Average number of entities who acquired bidding documents	n/a	n/a		Agency records and/or PhilGEPS records		
3.b	Average number of bidders who submitted bids	n/a	n/a		Abstract of Bids or other agency records		
3.c	Average number of bidders who passed eligibility stage	n/a	n/a		Abstract of Bids or other agency records		
3.d	Sufficiency of period to prepare bids	n/a	n/a		Agency records and/or PhilGEPS records		
3.e	Use of proper and effective procurement documentation and technical specifications/requirements	Not Compliant	0.00		Cost Benefit Analysis, Work Plans, Technical Specifications included in bidding documents		
		Average I	0.86				
PILLA	R II. AGENCY INSTITUTIONAL FRAMEWORK AND MANAGEME		0.00				
Indic	ator 4. Presence of Procurement Organizations						
4.a	Creation of Bids and Awards Committee(s)	Not Compliant	0.00		Verify copy of Order creating BAC; Organizational Chart; and Certification of Training		
4.b	Presence of a BAC Secretariat or Procurement Unit	Not Compliant	0.00		Verify copy of Order creating BAC Secretariat; Organizational Chart; and Certification of Training		
				<u> </u>			
	ator 5. Procurement Planning and Implementation				1		
5.a	An approved APP that includes all types of procurement	Not Compliant	0.00		Copy of APP and its supplements (if any)		
5.b	Preparation of Annual Procurement Plan for Common-Use Supplies and Equipment (APP-CSE) and Procurement of Common-Use Supplies and Equipment from the Procurement Service	Not Compliant	0.00		APP, APP-CSE, PMR		
5.c	Existing Green Specifications for GPPB-identified non-CSE items are adopted	n/a	n/a		ITBs and/or RFQs clearly indicate the use of green technical specifications for the procurement activity		
Indic	icator 6. Use of Government Electronic Procurement System						

Name of Agency:	Name of Evaluator:
Date of Self Assessment:	Position:

No.	Assessment Conditions	Agency Score	APCPI Rating*	Comments/Findings to the Indicators and SubIndicators	Supporting Information/Documentation (Not to be Included in the Evaluation
6.a	Percentage of bid opportunities posted by the PhilGEPS- registered Agency	n/a	n/a		Agency records and/or PhilGEPS records
lb.b	Percentage of contract award information posted by the PhilGEPS-registered Agency	n/a	n/a		Agency records and/or PhilGEPS records
	Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency	0.00%	0.00		Agency records and/or PhilGEPS records

Name of Agency:	Name of Evaluator:
Date of Self Assessment:	Position:

	Assessment Conditions	Agency Score	APCPI Rating*	Comments/Findings to the Indicators and SubIndicators	Supporting Information/Documentation (Not to be Included in the Evaluation
Indic	ator 7. System for Disseminating and Monitoring Procuremer	t Information			
7.a	Presence of website that provides up-to-date procurement information easily accessible at no cost	Not Compliant	0.00		Identify specific procurement-related portion in the agency website and specific website links
7.b	Preparation of Procurement Monitoring Reports using the GPPB-prescribed format, submission to the GPPB, and posting in agency website	Not Compliant	0.00		Copy of PMR and received copy that it was submitted to GPPB
PILL	AR III. PROCUREMENT OPERATIONS AND MARKET PRACTICES	Average II	0.00		
	ator 8. Efficiency of Procurement Processes				
8.a	Percentage of total amount of contracts signed within the assessment year against total amount in the approved APPs	0.00%	0.00		APP (including Supplemental amendments, if any) and PMRs
	Percentage of total number of contracts signed against total number of procurement projects done through competitive bidding	n/a	n/a		APP(including Supplemental amendments, if any)and PMRs
8.c	Planned procurement activities achieved desired contract outcomes and objectives within the target/allotted timeframe	Not Compliant	0.00		Agency Procedures/Systems for the conduct of needs analysis or market research, monitoring of timely delivery of goods, works, or services
					Contracts with amendments and variations to order amount to 10% or less
Indic	ator 9. Compliance with Procurement Timeframes				1
9.a	Percentage of contracts awarded within prescribed period of action to procure goods	n/a	n/a		PMRs
9.b	Percentage of contracts awarded within prescribed period of action to procure infrastructure projects	n/a	n/a		PMRs
9.c	Percentage of contracts awarded within prescribed period of action to procure consulting services	n/a	n/a		PMRs
Indic	ator 10. Capacity Building for Government Personnel and Priv	rate Sector Parti	cinants		1
10.a	There is a system within the procuring entity to evaluate the performance of procurement personnel on a regular basis	Not Compliant	0.00		Samples of forms used to evaluating procurement performance on top of or incorporated within the regular assessment for Procurement Personnel
10.b	Percentage of participation of procurement staff in procurement training and/or professionalization program	#VALUE!	#VALUE!		Ask for copies of Office Orders, training modules, list of participants, schedules of actual training conducted
10.c	The procuring entity has open dialogue with private sector and ensures access to the procurement opportunities of the procuring entity	Not Compliant	0.00		Ask for copies of documentation of activities for bidders
Indic	ator 11. Management of Procurement and Contract Managen	nent Records	T		T
11.a	The BAC Secretariat has a system for keeping and maintaining procurement records	Not Compliant	0.00		Verify actual procurement records and time it took to retrieve records (should be no more than two hours) Refer to Section 4.1 of User's Manual for list of procurement-related documents for record-keeping and maintenance.
11.b	Implementing Units has and is implementing a system for keeping and maintaining complete and easily retrievable contract management records	Not Compliant	0.00		Verify actual contract management records and time it took to retrieve records should be no more than two hours

Name of Agency:	Name of Evaluator:
Date of Self Assessment:	Position:

No.	Assessment Conditions	Agency Score	APCPI Rating*	Comments/Findings to the Indicators and SubIndicators	Supporting Information/Documentation (Not to be Included in the Evaluation
12.a	Agency has defined procedures or standards in such areas as quality control, acceptance and inspection, supervision of works and evaluation of contractors' performance	Not Compliant	0.00		Verify copies of written procedures for quality control, acceptance and inspection; CPES evaluation formsz
12.b	Timely Payment of Procurement Contracts	After 45 days	0.00		Ask Finance or Accounting Head of Agency for average period for the release of payments for procurement contracts
		Average III	#VALUE!		

Name of Agency:	Name of Evaluator:
Date of Self Assessment:	Position:

No.	Assessment Conditions	Agency Score	APCPI Rating*	Comments/Findings to the Indicators and SubIndicators	Supporting Information/Documentation (Not to be Included in the Evaluation
PILLA	AR IV. INTEGRITY AND TRANSPARENCY OF AGENCY PROCUREN	MENT SYSTEM			
Indi	cator 13. Observer Participation in Public Bidding				
13.a	Observers are invited to attend stages of procurement as prescribed in the IRR	n/a	n/a		Verify copies of Invitation Letters to CSOs and professional associations and COA (List and average number of CSOs and PAs invited shall be noted.)
Indic	ator 14. Internal and External Audit of Procurement Activities	<u> </u>			
14.a	Creation and operation of Internal Audit Unit (IAU) that	Not Compliant	0.00		Verify copy of Order or show actual organizational chart showing IAU, auidt reports, action plans and IAU recommendations
14.b	Audit Reports on procurement related transactions	Below 60% compliance	0.00		Verify COA Annual Audit Report on Action on Prior Year's Audit Recommendations
Indic	ator 15. Capacity to Handle Procurement Related Complaints				
	The Procuring Entity has an efficient procurement complaints system and has the capacity to comply with procedural requirements	Not Compliant	0.00		Verify copies of BAC resolutions on Motion for Reconsiderations, Protests and Complaints; Office Orders adopting mesures to address procurement-related complaints
Indic	ator 16. Anti-Corruption Programs Related to Procurement				The second secon
16.a	Agency has a specific anti-corruption program/s related to procurement	Not Compliant	0.00		Verify documentation of anti-corruption program
		Average IV	0.00		
GRA	ND TOTAL (Avarege I + Average II + Average III + Average IV / 4	4)	#VALUE!		

	APCPI Pillars	Ideal Rating	Agency Rating
ı	Legislative and Regulatory Framework	3.00	0.86
II	Agency Insitutional Framework and Management Capacity	3.00	0.00
Ш	Procurement Operations and Market Practices	3.00	#VALUE!
IV	Integrity and Transparency of Agency Procurement Systems	3.00	0.00
	Total (Pillar I+Pillar II+Pillar III+ PillarIV)/4	3.00	#VALUE!



Name of Agency:	Name of Evaluator:
Date of Self Assessment:	Position:

No.	Assessment Conditions	Agency Score	APCPI Rating*	Comments/Findings to the	Supporting Information/Documentation
DILLA	R I. LEGISLATIVE AND REGULATORY FRAMEWORK			Indicators and SubIndicators	(Not to be Included in the Evaluation
	ator 1. Competitive Bidding as Default Method of Procureme	nt			
1.a	Percentage of competitive bidding and limited source bidding contracts in terms of amount of total procurement	0.00%	0.00		PMRs
1.b	Percentage of competitive bidding and limited source bidding contracts in terms of volume of total procurement	0.00%	0.00		PMRs
Indic	ator 2. Limited Use of Alternative Methods of Procurement				
2.a	Percentage of shopping contracts in terms of amount of total procurement	68.21%	0.00		PMRs
2.b	Percentage of negotiated contracts in terms of amount of total procurement	9.94%	2.00		PMRs
2.c	Percentage of direct contracting in terms of amount of total procurement	21.85%	0.00		PMRs
2.d	Percentage of repeat order contracts in terms of amount of total procurement	0.00%	3.00		PMRs
2.e	Compliance with Repeat Order procedures	n/a	n/a		Procurement documents relative to conduct of Repeat Order
2.f	Compliance with Limited Source Bidding procedures	n/a	n/a		Procurement documents relative to conduct of Limited Source Bidding
Indic	ator 3. Competitiveness of the Bidding Process				
	Average number of entities who acquired bidding documents	n/a	n/a		Agency records and/or PhilGEPS records
3.b	Average number of bidders who submitted bids	n/a	n/a		Abstract of Bids or other agency records
3.c	Average number of bidders who passed eligibility stage	n/a	n/a		Abstract of Bids or other agency records
3.d	Sufficiency of period to prepare bids	n/a	n/a		Agency records and/or PhilGEPS records
3.e	Use of proper and effective procurement documentation and technical specifications/requirements	Fully Compliant	3.00		Cost Benefit Analysis, Work Plans, Technical Specifications included in bidding documents
		Average I	1.14		1
PILLA	R II. AGENCY INSTITUTIONAL FRAMEWORK AND MANAGEME				
Indic	ator 4. Presence of Procurement Organizations				
4.a	Creation of Bids and Awards Committee(s)	Not Compliant	0.00		Verify copy of Order creating BAC; Organizational Chart; and Certification of Training
4.b	Presence of a BAC Secretariat or Procurement Unit	Not Compliant	0.00		Verify copy of Order creating BAC Secretariat; Organizational Chart; and Certification of Training
	ator 5. Procurement Planning and Implementation				T
5.a	An approved APP that includes all types of procurement	Not Compliant	0.00		Copy of APP and its supplements (if any)
5.b	Preparation of Annual Procurement Plan for Common-Use Supplies and Equipment (APP-CSE) and Procurement of Common-Use Supplies and Equipment from the Procurement Service	Not Compliant	0.00		APP, APP-CSE, PMR
5.c	Existing Green Specifications for GPPB-identified non-CSE items are adopted	n/a	n/a		ITBs and/or RFQs clearly indicate the use of green technical specifications for the procurement activity
Indic	ator 6. Use of Government Electronic Procurement System				

Name of Agency:	Name of Evaluator:
Date of Self Assessment:	Position:

No.	Assessment Conditions	Agency Score	APCPI Rating*	Comments/Findings to the Indicators and SubIndicators	Supporting Information/Documentation (Not to be Included in the Evaluation
16.a	Percentage of bid opportunities posted by the PhilGEPS- registered Agency	0.00%	0.00	mulcators and Submulcators	Agency records and/or PhilGEPS records
h.n	Percentage of contract award information posted by the PhilGEPS-registered Agency	n/a	n/a		Agency records and/or PhilGEPS records
	Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency	0.00%	0.00		Agency records and/or PhilGEPS records

Name of Agency:	Name of Evaluator:
Date of Self Assessment:	Position:

7.a Proinf 7.b GF po	esence of website that provides up-to-date procurement formation easily accessible at no cost eparation of Procurement Monitoring Reports using the PPB-prescribed format, submission to the GPPB, and esting in agency website	t Information Not Compliant Substantially Compliant	0.00	Identify specific procurement-related portion in the agency website and specific
7.a inf Pro 7.b GF po	formation easily accessible at no cost eparation of Procurement Monitoring Reports using the PPB-prescribed format, submission to the GPPB, and ssting in agency website	Substantially	0.00	
7.b GF po	PPB-prescribed format, submission to the GPPB, and sisting in agency website	•		website links
	-		2.00	Copy of PMR and received copy that it was submitted to GPPB
	-	Average II	0.25	
	III. FRUCUREIVIEIN I UPERATIUNS AND IVIAKKET PKACTICES	Average II	0.25	
	or 8. Efficiency of Procurement Processes			
x a I	rcentage of total amount of contracts signed within the sessment year against total amount in the approved APPs	100.00%	3.00	APP (including Supplemental amendments, if any) and PMRs
8.b nu	rcentage of total number of contracts signed against total imber of procurement projects done through competitive dding	n/a	n/a	APP(including Supplemental amendments, if any)and PMRs
8.c ou	anned procurement activities achieved desired contract itcomes and objectives within the target/allotted neframe	Fully Compliant	3.00	Agency Procedures/Systems for the conduct of needs analysis or market research, monitoring of timely delivery of goods, works, or services Contracts with amendments and variations
\perp				to order amount to 10% or less
Indicato	or 9. Compliance with Procurement Timeframes			
ac ac	rcentage of contracts awarded within prescribed period of tion to procure goods	n/a	n/a	PMRs
9.b ac	rcentage of contracts awarded within prescribed period of tion to procure infrastructure projects	n/a	n/a	PMRs
	rcentage of contracts awarded within prescribed period of tion to procure consulting services	n/a	n/a	PMRs
Indicato	L or 10. Capacity Building for Government Personnel and Priv	ate Sector Parti	cipants	
10 a Th	ere is a system within the procuring entity to evaluate the erformance of procurement personnel on a regular basis	Fully Compliant	3.00	Samples of forms used to evaluating procurement performance on top of or incorporated within the regular assessment for Procurement Personnel
10 n	rcentage of participation of procurement staff in ocurement training and/or professionalization program	0.00%	0.00	Ask for copies of Office Orders, training modules, list of participants, schedules of actual training conducted
10.c an	e procuring entity has open dialogue with private sector densures access to the procurement opportunities of the ocuring entity	Compliant	3.00	Ask for copies of documentation of activities for bidders
Indicato	or 11. Management of Procurement and Contract Managem	nent Records		T
	e BAC Secretariat has a system for keeping and aintaining procurement records	Fully Compliant	3.00	Verify actual procurement records and time it took to retrieve records (should be no more than two hours) Refer to Section 4.1 of User's Manual for list of procurement-related documents for record-keeping and maintenance.
11.b ke	plementing Units has and is implementing a system for eping and maintaining complete and easily retrievable ntract management records	Fully Compliant	3.00	 Verify actual contract management records and time it took to retrieve records should be no more than two hours
	or 12. Contract Management Procedures]

Name of Agency:	Name of Evaluator:
Date of Self Assessment:	Position:

No.	Assessment Conditions	Agency Score	APCPI Rating*	Comments/Findings to the Indicators and SubIndicators	Supporting Information/Documentation (Not to be Included in the Evaluation
12.a	Agency has defined procedures or standards in such areas as quality control, acceptance and inspection, supervision of works and evaluation of contractors' performance	Partially Compliant	1.00		Verify copies of written procedures for quality control, acceptance and inspection; CPES evaluation formsz
12.b	Timely Payment of Procurement Contracts	After 45 days	0.00		Ask Finance or Accounting Head of Agency for average period for the release of payments for procurement contracts
		Average III	2.11		_

Name of Agency:	Name of Evaluator:
Date of Self Assessment:	Position:

No.	Assessment Conditions	Agency Score	APCPI Rating*	Comments/Findings to the Indicators and SubIndicators	Supporting Information/Documentation (Not to be Included in the Evaluation
PILLA	AR IV. INTEGRITY AND TRANSPARENCY OF AGENCY PROCUREN	MENT SYSTEM			
Indi	cator 13. Observer Participation in Public Bidding	-			
13.a	Observers are invited to attend stages of procurement as prescribed in the IRR	n/a	n/a		Verify copies of Invitation Letters to CSOs and professional associations and COA (List and average number of CSOs and PAs invited shall be noted.)
Indic	ator 14. Internal and External Audit of Procurement Activitie	s			
14.a	Creation and operation of Internal Audit Linit (IALI) that	Not Compliant	0.00		Verify copy of Order or show actual organizational chart showing IAU, auidt reports, action plans and IAU recommendations
14.b	Audit Reports on procurement related transactions	Below 60% compliance	0.00		Verify COA Annual Audit Report on Action on Prior Year's Audit Recommendations
Indic	cator 15. Capacity to Handle Procurement Related Complaints				
	The Procuring Entity has an efficient procurement complaints system and has the capacity to comply with procedural requirements	Fully Compliant	3.00		Verify copies of BAC resolutions on Motion for Reconsiderations, Protests and Complaints; Office Orders adopting mesures to address procurement-related complaints
Indic	cator 16. Anti-Corruption Programs Related to Procurement	- 11			The second second second
16.a	Agency has a specific anti-corruption program/s related to procurement	Fully Compliant	3.00		Verify documentation of anti-corruption program
		Average IV	1.50		
GRAND TOTAL (Avarege I + Average II + Average III + Average IV / 4)		1.25			

	APCPI Pillars	Ideal Rating	Agency Rating
	Legislative and Regulatory Framework	3.00	1.14
	· ·		
II	Agency Insitutional Framework and Management Capacity	3.00	0.25
Ш	Procurement Operations and Market Practices	3.00	2.11
IV	Integrity and Transparency of Agency Procurement Systems	3.00	1.50
	Total (Pillar I+Pillar II+Pillar III+ PillarIV)/4	3.00	1.25

